$\mathrm{SS}~2025$ 

Exercises to the lecture Semantics Sheet 9

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Delivery until 15.07.2025 at 11:30

**Exercise 9.1** (Transfinite Iteration)

In this exercise, we prove a fixed point theorem that does not require the given function to be continuous (in contrast to Kleene's theorem).

Let  $(D, \leq)$  be a complete lattice, and let  $f : D \to D$  be a monotone function. The transfinite iterates of f from  $\perp$  are defined as follows:

$$f^{0} = \bot$$
$$f^{\alpha+1} = f(f^{\alpha})$$
$$f^{\lambda} = \bigsqcup_{\beta < \lambda} f^{\beta}$$

where  $\alpha$  is a successor ordinal and  $\lambda$  is a limit ordinal.

- 1. Show that the transfinite iterates of f form an increasing chain.
- 2. Show that the chain becomes stationary, i.e., there exists some ordinal  $\epsilon$  such that for all  $\delta \geq \epsilon$ , we have  $f^{\delta} = f^{\epsilon}$ .
- 3. Let  $\epsilon$  be the ordinal where the chain becomes stationary. Show that  $f^{\epsilon}$  is the least fixed point of f.

**Bonus Exercise 9.2** (Transition Invariants & Linear Ranking Functions) Consider a program with variables  $x_1, x_2, \ldots, x_n$  ranging over  $\mathbb{Z}$ , consisting of a single while loop with a body f:

1: int  $x_1, x_2, ..., x_n$ 2: while  $x_1 > 0 \land x_2 > 0 \land ... \land x_n > 0$  do 3:  $(x_1, x_2, ..., x_n) \leftarrow f(x_1, x_2, ..., x_n)$ 4: end while

Let f be a linear function in  $x_1, x_2, \ldots, x_n$ . Assume we obtain a k-ary disjunctive termination argument of the form  $R^* \subseteq T_1 \cup \cdots \cup T_k$  with each  $T_i$  well-founded. Suppose we have synthesized linear ranking functions  $r_j : \mathbb{Z}^n \to \mathbb{N}$  for each  $T_j$ . This means that the ranking function  $r_j$  maps a program state (an assignment to the variables) to a natural number such that

 $r_j(s) > r_j(s')$  whenever  $(s, s') \in T_j$ .

Determine the maximal number of loop iterations by classifying it within the Grzegorczyk hierarchy.